

JC10 Rec'd PCT/PTO 27 FEB 2002

FORM PTO-1390
(REV 10-95)

U S DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

24717-717

U.S. APPLICATION NO (If known, see 37 CFR 15)
Unknown 10/070515

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

INTERNATIONAL APPLICATION NO
PCT/US01/32319

INTERNATIONAL FILING DATE
17 October 2001

PRIORITY DATE CLAIMED
17 October 2000

TITLE OF INVENTION

Method And Apparatus For The Assessment And Optimization of Network Traffic

APPLICANT(S) FOR DO/EO/US

Michael A. Lloyd, Omar C. Balondado, Sean P. Finn, Mansour J. Karam, James G. McGuire, Herbert S. Madan

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 37(b) and PCT Articles 22 and 39(1).
4. A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. has been transmitted by the International Bureau.
 - c. is not required, as the application was filed in the United States Receiving Office (RO/US).
6. A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. Amendments to claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. have been transmitted by the International Bureau.
 - c. have not been made; however, the time limit for making such amendments has NOT expired.
 - d. have not been made and will not be made
8. A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. To 16. below concern document(s) or information included:

11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. A **FIRST** preliminary amendment.
 - A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. A substitute specification.
15. A change of power of attorney and/or address letter
16. Other items or information: Copy of International Search Report and PCT Publication document

JC19 Rec'd PCT/PTO 27 FEB 2002

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)	INTERNATIONAL APPLICATION NO	ATTORNEY'S DOCKET NUMBER																																													
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<p>17. <input checked="" type="checkbox"/> The following fees are submitted:</p> <p>BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)):</p> <table> <tr> <td>Search Report has been prepared by the EPO or JPO</td> <td>\$710.00</td> </tr> <tr> <td>International preliminary examination fee paid to USPTO (37 CFR 1.482)</td> <td>\$670.00</td> </tr> <tr> <td>No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2))</td> <td>\$710.00</td> </tr> <tr> <td>Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO</td> <td>\$970.00</td> </tr> <tr> <td>International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4).....</td> <td>\$96.00</td> </tr> </table> <p>ENTER APPROPRIATE BASIC FEE AMOUNT =</p> <table> <tr> <td>\$ 710</td> <td></td> </tr> </table> <p>Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).</p> <table> <tr> <td>\$ 130</td> <td></td> </tr> </table> <p>CLAIMS</p> <table> <tr> <td>NUMBER</td> <td>NUMBER EXTRA</td> <td>RATE</td> </tr> <tr> <td>115 - 20 =</td> <td>95</td> <td>95 X \$18.00</td> </tr> <tr> <td>Independent claims</td> <td>9 - 3 =</td> <td>6 X \$84.00</td> </tr> <tr> <td colspan="2">MULTIPLE DEPENDENT CLAIM(S) (if applicable)</td> <td>\$260.00</td> </tr> </table> <p>TOTAL OF ABOVE CALCULATIONS =</p> <table> <tr> <td>\$ 3054</td> <td></td> </tr> </table> <p>Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement is claimed under 37 CFR 1.27.</p> <table> <tr> <td>\$ 1527</td> <td></td> </tr> </table> <p>SUBTOTAL =</p> <table> <tr> <td>\$ 1527</td> <td></td> </tr> </table> <p>Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).</p> <table> <tr> <td>\$</td> <td></td> </tr> </table> <p>TOTAL NATIONAL FEE =</p> <table> <tr> <td>\$ 1527</td> <td></td> </tr> </table> <p>Fee for recording the enclosed assignment (37 CFR 1.21(h)) The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +</p> <table> <tr> <td>\$</td> <td></td> </tr> </table> <p>TOTAL FEES ENCLOSED =</p> <table> <tr> <td>\$ 1527</td> <td></td> </tr> </table> <p>Amount to be: refunded</p> <table> <tr> <td>\$</td> <td></td> </tr> </table> <p>Charged</p> <table> <tr> <td>\$ 1527</td> <td></td> </tr> </table>		Search Report has been prepared by the EPO or JPO	\$710.00	International preliminary examination fee paid to USPTO (37 CFR 1.482)	\$670.00	No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2))	\$710.00	Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO	\$970.00	International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4).....	\$96.00	\$ 710		\$ 130		NUMBER	NUMBER EXTRA	RATE	115 - 20 =	95	95 X \$18.00	Independent claims	9 - 3 =	6 X \$84.00	MULTIPLE DEPENDENT CLAIM(S) (if applicable)		\$260.00	\$ 3054		\$ 1527		\$ 1527		\$		\$ 1527		\$		\$ 1527		\$		\$ 1527		CALCULATIONS	PTO USE ONLY
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a. A check in the amount of \$____ to cover the above fees is enclosed.

b. Please charge my Deposit Account No. 23-2415 in the amount of \$1,527 to cover the above fees. A duplicate copy of this sheet is enclosed.

c. The Commissioner is hereby authorized to charge any additional fees which may be required or credit any overpayment to Deposit Account No. 23-2415. A duplicate of this sheet is enclosed.

d. Applicant claims small entity status. See 37 C.F.R.1.27.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO.
Wilson Sonsini Goodrich & Rosati
650 Page Mill Road
Palo Alto, California 94304-1050
(650) 493-9300
Customer No. 021971

SIGNATURE

Kenta Suzue

45,145

REGISTRATION NUMBER